

**Michigan Department of Consumer & Industry Services  
Corporation, Securities and Land Development Bureau  
DISCIPLINARY ACTION REPORT - 1st Quarter 1998  
For More Information Call: (517) 334-6209**

<u>Location of Licensee</u>	<u>Date</u>	<u>Docket #</u>	<u>Respondent (s)</u>	<u>Voilation (s)</u>	<u>Penalties</u>	<u>For Further Information Contact:</u>
Hinckley, MN	12/05/97	SN 7532	Steve Kroschel, d/b/a Kroschel Films Route 1, Box 140 Hinckley, Minnesota 55037	Unregistered, Nonexempt Securities Section 301 Omissions of Material Facts Section 101(2)	Revoked and Denied with respect to rights to sell exempt securities or engage in exempt transactions without compliance with provisions of the Act.	Consumer & Industry Services Corporation, Securities and Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909
Holt, MI			Black Feather, Inc. 1869 Maple Street Holt, MI 48842 and		Cease and Desist  Pay Administrative Costs of \$1,000	
Hinckley, MN			Route 1, Box 140 Hinckley, Minnesota 55037			
Holt, MI			One Paw, Inc. 1869 Maple Street Holt, Michigan 48842 and			
Hinckley, MN			Route 1, Box 140 Hinckley, Minnesota 55037			
			Stephen H. Kroschel Route 1, Box 140 Hinckley, Minnesota 55037			

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<u>Location of Licensee</u>	<u>Date</u>	<u>Docket #</u>	<u>Respondent (s)</u>	<u>Violation (s)</u>	<u>Penalties</u>	<u>For Further Information Contact:</u>
Castle Rock, CO	12/22/97	SA 6633	Gregory L. White 4662 Willow Creek Road Castle Rock, Colorado 80104 and  c/o Rodman & Renshaw, Inc Dallas, Texas 75201	Executing discretionary transactions without authority Section 204(a)(1)(P) Recommending unsuitable securities to a customer Section 204(a)(1)(M) Being insolvent Section 204(a)(1)(H)	Revoked and Denied with respect to the right of Gregory L. White to sell exempt securities or engage in exempt transactions in the future without compliance with the Act  Agent Registration is Revoked  Respondent is Censured from further activity affecting public interest  Cease and Desist	Consumer & Industry Services Corporation, Securities and Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909
Van Nuys, CA	01/06/98	LP 7547	Roland Carroll, President Alpine Pictures, Inc. 6919 Valjean Avenue Van Nuys, California 91406 and  Paul L. Miller Managing General Partner Cavalier Partners, L.P. 6919 Valjean Avenue Van Nuys, California 91406	Failure to file a written statement with the Administrator Sections 407(a)(2) and 407(b)	Cease and Desist from any act or practice constituting a violation of the Act, Rules, or an Order pursuant to the Act  Pay Administrative Costs of \$750.00	Consumer & Industry Services Corporation, Securities and Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909
Grosse Pointe Wds Michigan	01/06/98	SS 4152	James R. Imhoff, d/b/a Future Vision Technologies, Inc. a/k/a New Vision Technologies 1948 Allard Grosse Pointe Woods, MI 48236 and  P.O. Box 36294 Grosse Pointe Woods, MI 48236	Unregistered, Nonexempt Securities Section 301  Omission to State Material Facts Section 101(2)  Violation of a Final Order Section 408(a)	Revoked and Denied with respect to the right of James R. Imhoff to sell exempt securities or engage in exempt transactions in the future without compliance with the Act  Cease and Desist from any act or practice constituting a violation of the Act, Rules, or an Order pursuant to the Act  Pay Administrative Costs of \$1,000	Consumer & Industry Services Corporation, Securities and Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909

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Bay City, MI	01/27/98	SS 6663	Daniel Phillip Whaley 3307 E. Beaver Road Bay City, MI 48706 and	Unregistered, Nonexempt Securities (I) Section 301  Unregistered, Nonexempt Securities (II) Section 301	Revoked and Denied with respect to the right of the Respondents to sell exempt securities or engage in exempt transactions in the future without compliance with the Act  Respondent Daniel Phillip Whaley's agent registration be and hereby is revoked and Respondents are Censured  Respondent North American Financial Group, Inc. to Cease and Desist from any act or practice constituting a violation of the Act, Rules, or an Order issued pursuant to the Act.  Respondent Daniel Phillip Whaley is ordered to pay a civil penalty of \$20,625 (waived with conditions)	Consumer & Industry Services Corporation, Securities and Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909
Warren, MI			North American Financial Group, Inc. 3665 E. Eleven Mile Road Warren, MI 48092			
Woodland Hills, CA	01/27/98	LP 7567	Tri-Star Capital Mgmt. Grp., Inc. 20501 Ventura Blvd., #275 Woodland Hills, CA 91364 and  Quest Production Partners, LLP 20501 Ventura Blvd., #275 Woodland Hills, CA 91364	Failure to file a written statement with the Administrator Sections 407(a)(2) and 407(b)	Revoked and Denied with respect to the right of Tri-Star Capital Mgmt. Grp., Inc. and Quest Procudtion Partners, LLP to sell exempt securities or engage in exempt transations in the future without compliance with the ACT  Cease and Desist from any act or practice constituting a voilation of the Act, Rules, or an Order pursuant to the Act	Consumer & Industry Services Corporation, Securities and Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909